

**EEE** products

HCT-201812-04

### 2011/65/EU (RoHS 2.0) newest exemption list

25 Dec. 2018 updated

13 February 2003, EU published Directive 2002/95/EC of the European Parliament and of the Council on the restriction of the use of certain hazardous substances in electrical and electronic equipment. 1 July 2011, THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION published DIRECTIVE 2011/65/EU (RoHS 2.0) on Official Journal of the European Union, replacing Directive 2002/95/EC. There are totally 39 entries in RoHS 2.0 Annex III and 20 entries in Annex IV. 9 January 2014, EU Commission published multiple amendments to DIRECTIVE 2011/65/EU (RoHS 2.0), newly added 14 entries to Annex IV. And from 20 May 2014 news, 8 amendments are officially added to Directive 2011/65/EU (RoHS 2.0). 16 April 2016, EU amended the 31st entry of Annex IV of RoHS 2.0, according to (EU) 2016/585, in Annex IV to Directive 2011/65/EU, point 31 is deleted and point 31a is added. On 25 June 2016, the Official Journal of the European Union has published Commission Delegated Directives (EU) 2016/1028 to amend point 26 as regards an exemption on lead and (EU) 2016/1029 to add point 43 as regards an exemption on cadmium. Up to July 2016, there are totally 41 entries in Annex III and 43 in Annex IV. 18 May 2018, Official Journal of the European Union published 7 amendments (EU) 2018/736, (EU) 2018/737, (EU) 2018/738, (EU) 2018/739, (EU) 2018/740, (EU) 2018/741 and (EU) 2018/742 amending Annex III to Directive 2011/65/EU of the European Parliament and of the Council as regards to the Entry 6(a), 6(a)-I, 6(b), 6(b)-I, 6(b)-II, 6(c), 7(a), 7(c)-I, 24 and 34. 16 Nov 2018, the Official Journal of European Union published Document C(2018)7495, C(2018)7499, C(2018)7505 to C(2018)7509, C(2018)7520, C(2018)7523, C(2018)7525, amending the exemption for lead and Cadmium content in the Annex III of EU RoHS Directive. Belows are the newest exemption lists of RoHS 2.0, words marked blue are those exemptions that were expired.

ANNEX III

Exemption		Scope and dates of applicability
1	Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.

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### **EEE** products HCT-201812-04 Expires on 31 December 2011; 3,5 mg may be used per burner after 31 December 2011 until 31 1(a) For general lighting purposes < 30 W: 5 mg December 2012; 2,5 mg shall be used per burner after 31 December 2012 For general lighting purposes ≥ 30 W and < 50 W: 5 Expires on 31 December 2011; 3,5 mg may be 1(b) used per burner after 31 December 2011 mq For general lighting purposes $\geq$ 50 W and < 150 W: 5 The exemption apply until 21 July 2016 for a 1(c) mg maximum validity period The exemption apply until 21 July 2016 for a 1(d) For general lighting purposes $\geq$ 150 W: 15 mg maximum validity period No limitation of use until 31 December 2011; For general lighting purposes with circular or square 7 mg may be used per burner after 31 December 1(e) structural shape and tube diameter ≤ 17 mm 2011 The exemption apply until 21 July 2016 for a 1(f) For special purposes: 5 mg maximum validity period, and may be renewed only on request after assessment. For general lighting purposes < 30 W with a lifetime Expires on 31 December 2017 1(g)equal or above 20 000 h: 3,5 mg Mercury in double-capped linear fluorescent lamps The exemption apply until 21 July 2016 for a 2(a) for general lighting purposes not exceeding (per maximum validity period, and may be renewed lamp): only on request after assessment. Tri-band phosphor with normal lifetime and a tube Expires on 31 December 2011; 4 mg may be used 2(a)-I diameter < 9 mm (e.g. T2): 5 mg per lamp after 31 December 2011 Tri-band phosphor with normal lifetime and a tube Expires on 31 December 2011; 3 mg may be used 2(a)-II per lamp after 31 December 2011 diameter $\geq$ 9 mm and $\leq$ 17 mm (e.g. T5): 5 mg Tri-band phosphor with normal lifetime and a tube Expires on 31 December 2011; 3,5 mg may be 2(a)-III diameter > 17 mm and $\leq$ 28 mm (e.g. T8): 5 mg used per lamp after 31 December 2011 Tri-band phosphor with normal lifetime and a tube Expires on 31 December 2012; 3,5 mg may be 2(a)-IV diameter > 28 mm (e.g. T12): 5 mg used per lamp after 31 December 2012 Tri-band phosphor with long lifetime (≥ 25 000 h): 8 Expires on 31 December 2011; 5 mg may be used 2(a)-V mg per lamp after 31 December 2011 The exemption apply until 21 July 2016 for a Mercury in other fluorescent lamps not exceeding 2(b) maximum validity period, and may be renewed (per lamp): only on request after assessment.

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oroducts		HCT-201812-04
2(b)-I	Linear halophosphate lamps with tube > 28 mm (e.g. T10 and T12): 10 mg	Expires on 13 April 2012
2(b)-II	Non-linear halophosphate lamps (all diameters): 15 mg	Expires on 13 April 2016
2(b)-III	Non-linear tri-band phosphor lamps with tube diameter > 17 mm (e.g. T9)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
2(b)-IV	Lamps for other general lighting and special purposes (e.g. induction lamps)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
3	Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
3(a)	Short length (≤ 500 mm)	No limitation of use until 31 December 2011; 3.5 mg may be used per lamp after 31 December 2011
3(b)	Medium length (> 500 mm and ≤ 1 500 mm)	No limitation of use until 31 December 2011; 5 mg may be used per lamp after 31 December 2011
3(c)	Long length (> 1 500 mm)	No limitation of use until 31 December 2011; 13 mg may be used per lamp after 31 December 2011
4(a)	Mercury in other low pressure discharge lamps (per lamp)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
4(b)	Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index Ra > 60:	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
4(b)-I	P≤155 W	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011
4(b)-II	155W <p≤405w< td=""><td>No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011</td></p≤405w<>	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011

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4(b)-III	P>405W	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011
4(c)	Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
4(c)-I	P≤155 W	No limitation of use until 31 December 2011; 25 mg may be used per burner after 31 December 2011
4(c)-11	155W <p≤405w< td=""><td>No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011</td></p≤405w<>	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011
4(c)-111	P>405W	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011
4(d)	Mercury in High Pressure Mercury (vapour) lamps (HPMV)	Expires on 13 April 2015
4(e)	Mercury in metal halide lamps (MH)	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
4(f)	Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
4(g)	Mercury in hand crafted luminous discharge tubes used for signs, decorative or architectural and specialist lighting and light-artwork, where the mercury content shall be limited as follows: (a) 20 mg per electrode pair + 0,3 mg per tube length in cm, but not more than 80 mg, for outdoor applications and indoor applications exposed to temperatures below 20 °C; (b) 15 mg per electrode pair + 0,24 mg per tube length in cm, but not more than 80 mg, for all other indoor applications	Expires on 31 December 2018
5(a)	Lead in glass of cathode ray tubes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.

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5(b)	Lead in glass of fluorescent tubes not exceeding 0,2 % by weight	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
6(a)	Lead as an alloying element in steel for machining purposes and in galvanised steel containing up to 0,35 % lead by weight	<ul> <li>Expires on:</li> <li>21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments;</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices;</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.</li> </ul>
6(a)-I	Lead as an alloying element in steel for machining purposes containing up to 0,35 % lead by weight and in batch hot dip galvanised steel components containing up to 0,2 % lead by weight	Expires on 21 July 2021 for categories 1-7 and 10.
6(b)	Lead as an alloying element in aluminium containing up to 0,4 % lead by weight	Expires on: 21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments, 21 July 2023 for category 8 in vitro diagnostic medical devices, 21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
6(b)-I	Lead as an alloying element in aluminium containing up to 0,4 % lead by weight, provided it stems from lead-bearing aluminium scrap recycling	Expires on 21 July 2021 for categories 1-7 and 10.
6(b)-II	Lead as an alloying element in aluminium for machining purposes with a lead content up to 0,4 % by weight	Expires on 18 May 2021 for categories 1-7 and 10.
6(c)	Copper alloy containing up to 4 % lead by weight	Expires on: 21 July 2021 for categories 1-7 and 10, 21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments, 21 July 2023 for category 8 in vitro diagnostic medical devices, 21 July 2024 for category 9 industrial monitoring

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roducts		HCT-201812-04
		and control instruments, and for category 11.
7(a)	Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead)	Applies to categories 1-7 and 10 (except applications covered by point 24 of this Annex) and expires on 21 July 2021. For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 27 July 2021. For category 8 in vitro diagnostic medical device expires on 21 July 2023. For category 9 industrial monitoring and control instruments and for category 11 expire on 21 Jul 2024.
7(b)	Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling, transmission, and network management for telecommunications	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
7(c)-I	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound	<ul> <li>Applies to categories 1-7 and 10 (except applications covered under point 34) and expires on 21 July 2021.</li> <li>For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 21 July 2021.</li> <li>For category 8 in vitro diagnostic medical device expires on 21 July 2023.</li> <li>For category 9 industrial monitoring and control instruments and for category 11 expire on 21 July 2024.</li> </ul>
<mark>7(c)-II</mark>	Lead in dielectric ceramic in capacitors for a rated voltage of 125 V AC or 250 V DC or higher	Does not apply to applications covered by point 7(c)-I and 7(c)-IV of this Annex. Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than i vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic

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oducts		HCT-201812-04
		medical devices;
		-21 July 2024 for category 9 industrial monitoring
		and control instruments, and for category 11.
	Lead in dielectric ceramic in capacitors for a rated	Expires on 1 January 2013 and after that date ma
7(c)-III	voltage of less than 125 V AC or 250 V DC	be used in spare parts for EEE placed on the
	Voltage of less than 125 V AC of 250 V DC	market before 1 January 2013
		Expires on:
		–21 July 2021 for categories 1-7 and 10;
		-21 July 2021 for categories 8 and 9 other than
	Lead in PZT based dielectric ceramic materials for	vitro diagnostic medical devices and industrial
<mark>7(c)-IV</mark>	capacitors which are part of integrated circuits or	monitoring and control instruments;
	discrete semiconductors	-21 July 2023 for category 8 in vitro diagnostic
		medical devices;
		-21 July 2024 for category 9 industrial monitorin
		and control instruments, and for category 11.
	Cadmium and its compounds in one shot pellet type thermal cut-offs	Expires on 1 January 2012 and after that date m
8(a)		be used in spare parts for EEE placed on the
		market before 1 January 2012
		Applies to categories 8, 9 and 11 and expires or
		-21 July 2021 for categories 8 and 9 other than
		vitro diagnostic medical devices and industrial
<mark>8(b)</mark>	Cadmium and its compounds in electrical contacts	monitoring and control instruments;
		-21 July 2023 for category 8 in vitro diagnostic
		medical devices;
		-21 July 2024 for category 9 industrial monitorin
		and control instruments, and for category 11.
	Cadmium and its compounds in electrical contacts	
	used in:	
	-circuit breakers;	
	-thermal sensing controls;	
<mark>8(b)-l</mark>	-thermal motor protectors (excluding hermetic	Applies to categories 1 to 7 and 10 and expires
	thermal motor protectors);	21 July 2021.
	-AC switches rated at:	
	•6 A and more at 250 V AC and more; or	
	•12 A and more at 125 V AC and more;	
	-DC switches rated at 20 A and more at 18 V DC and	
	more; and	

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roducts		HCT-201812-04
	-switches for use at voltage supply frequency ≥ 200 Hz.	
9	Hexavalent chromium as an anticorrosion agent of the carbon steel cooling system in absorption refrigerators up to 0,75 % by weight in the cooling solution	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
9(b)	Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and refrigeration (HVACR) applications	<ul> <li>Applies to categories 8, 9 and 11; expires on:</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments and for category 11,</li> <li>21 July 2021 for other subcategories of categories 8 and 9.</li> </ul>
9(b)-(l)	Lead in bearing shells and bushes for refrigerant-containing hermetic scroll compressors with a stated electrical power input equal or below 9 kW for heating, ventilation, air conditioning and refrigeration (HVACR) applications	Applies to category 1; expires on 21 July 2019
11(a)	Lead used in C-press compliant pin connector systems	May be used in spare parts for EEE placed on the market before 24 September 2010
11(b)	Lead used in other than C-press compliant pin connector systems	Expires on 1 January 2013 and after that date ma be used in spare parts for EEE placed on the market before 1 January 2013
12	Lead as a coating material for the thermal conduction module C-ring	May be used in spare parts for EEE placed on th market before 24 September 2010
13(a)	Lead in white glasses used for optical applications	Applies to all categories; expires on: 21 July 2023 for category 8 in vitro diagnostic medical devices; 21 July 2024 for category 9 industrial monitoring and control instruments and for category 11; 21 July 2021 for all other categories and subcategories
13(b)	Cadmium and lead in filter glasses and glasses used for reflectance standards	Applies to categories 8, 9 and 11; expires on: 21 July 2023 for category 8 in vitro diagnostic medical devices; 21 July 2024 for category 9 industrial monitoring

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		and control instruments and for category 11; 21 July 2021 for other subcategories of categories 8 and 9
13(b)-(l)	Lead in ion coloured optical filter glass types	
13(b)-(II)	Cadmium in striking optical filter glass types; excluding applications falling under point 39 of this Annex	Applies to categories 1 to 7 and 10; expires on 21 July 2021 for categories 1 to 7 and 10'
13(b)-(III)	Cadmium and lead in glazes used for reflectance standards	
14	Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors with a lead content of more than 80 % and less than 85 % by weight	Expired on 1 January 2011 and after that date may be used in spare parts for EEE placed on the market before 1 January 2011
<mark>15</mark>	Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit flip chip packages	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
<mark>15(a)</mark>	Lead in solders to complete a viable electrical connection between the semiconductor die and carrier within integrated circuit flip chip packages where at least one of the following criteria applies: -a semiconductor technology node of 90 nm or larger; -a single die of 300mm <sup>2</sup> or larger in any semiconductor technology node; -stacked die packages with die of 300mm <sup>2</sup> or larger, or silicon interposers of 300mm <sup>2</sup> or larger.	Applies to categories 1 to 7 and 10 and expires on 21 Jul. 2021.
16	Lead in linear incandescent lamps with silicate coated tubes	Expires on 1 September 2013

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17	Lead halide as radiant agent in high intensity discharge (HID) lamps used for professional reprography applications	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
18(a)	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as speciality lamps for diazoprinting reprography, lithography, insect traps, photochemical and curing processes containing phosphors such as SMS ((Sr,Ba) 2 MgSi 2 O 7 :Pb)	Expired on 1 January 2011
<mark>18(b)</mark>	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as sun tanning lamps containing phosphors such as BSP (BaSi 2 O 5 :Pb)	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
<mark>18(b)-l</mark>	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps containing phosphors such as BSP (BaSi2O5:Pb) when used in medical phototherapy equipment	Applies to categories 5 and 8, excluding applications covered by entry 34 of Annex IV, and expires on 21 July 2021.
19	Lead with PbBiSn-Hg and PbInSn-Hg in specific compositions as main amalgam and with PbSn-Hg as auxiliary amalgam in very compact energy saving lamps (ESL)	Expires on 1 June 2011
20	Lead oxide in glass used for bonding front and rear substrates of flat fluorescent lamps used for Liquid Crystal Displays (LCDs)	Expires on 1 June 2011
21	Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.

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<mark>21(a)</mark>	Cadmium when used in color printed glass to provide filtering functions, used as a component in lighting applications installed in displays and control panels of EEE	Applies to categories 1 to 7 and 10 except applications covered by entry 21(b) or entry 39 and expires on 21 July 2021.
<mark>21(b)</mark>	Cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	Applies to categories 1 to 7 and 10 except applications covered by entry 21(a) or 39 and expires on 21 July 2021.
<mark>21(c)</mark>	Lead in printing inks for the application of enamels on other than borosilicate glasses	Applies to categories 1 to 7 and 10 and expires of 21 July 2021.
23	Lead in finishes of fine pitch components other than connectors with a pitch of 0,65 mm and less	May be used in spare parts for EEE placed on the market before 24 September 2010
24	Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors	<ul> <li>Expires on:</li> <li>21 July 2021 for categories 1-7 and 10,</li> <li>21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments,</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.</li> </ul>
25	Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
26	Lead oxide in the glass envelope of black light blue lamps	Expires on 1 June 2011
27	Lead alloys as solder for transducers used in high-powered (designated to operate for several hours at acoustic power levels of 125 dB SPL and above) loudspeakers	Expired on 24 September 2010
29	Lead bound in crystal glass as defined in Annex I (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than i vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices;

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		-21 July 2024 for category 9 industrial monitoring
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30	Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
31	Lead in soldering materials in mercury free flat fluorescent lamps (which, e.g. are used for liquid crystal displays, design or industrial lighting)	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
<mark>32</mark>	Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
33	Lead in solders for the soldering of thin copper wires of 100 $\mu$ m diameter and less in power transformers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
34	Lead in cermet-based trimmer potentiometer elements	Applies to all categories; expires on: 21 July 2021 for categories 1-7 and 10, 21 July 2021 for categories 8 and 9 other than vitrodiagnostic medical devices and industrial monitoring and control instruments, 21 July 2023 for category 8 in vitro diagnostic medical devices, 21 July 2024 for category 9 industrial monitorin and control instruments, and for category 11.
36	Mercury used as a cathode sputtering inhibitor in DC plasma displays with a content up to 30 mg per display	Expired on 1 July 2010
<mark>37</mark>	Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body	Expires on: -21 July 2021 for categories 1-7 and 10;

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		monitoring and control instruments;
		-21 July 2023 for category 8 in vitro diagnostic
		medical devices;
		-21 July 2024 for category 9 industrial monitoring
		and control instruments, and for category 11.
	Cadmium and cadmium oxide in thick film pastes	The exemption apply until 21 July 2016 for a
38		maximum validity period, and may be renewed
	used on aluminium bonded beryllium oxide	only on request after assessment.
	Cadmium in colour converting II-VI LEDs (< 10 µg	
39	Cd per mm 2 of light-emitting area) for use in solid	Expired on 1 July 2014
	state illumination or display systems	
	Cadmium in photoresistors for analogue	
40	optocouplers applied in professional audio	Expires on 31 December 2013
	equipment	
	Lead in solders and termination finishes of electrical	
	and electronic components and finishes of printed	
	circuit boards used in ignition modules and other	
	electrical and electronic engine control systems,	
41	which for technical reasons must be mounted	Expires on 31 December 2018
	directly on or in the crankcase or cylinder of	
	hand-held combustion engines (classes SH:1, SH:2,	
	SH:3 of Directive 97/68/EC of the European	
	Parliament and of the Council	
	Lead in bearings and bushes of diesel or gaseous	
	fuel powered internal combustion engines applied in	
	non-road professional use equipment:	
	-with engine total displacement ≥ 15 litres; or	
	-with engine total displacement < 15 litres and the	Applies to category 11, excluding applications
<mark>42</mark>	engine is designed to operate in applications where	covered by entry 6(c) of this Annex.
	the time between signal to start and full load is	Expires on 21 July 2024.
	required to be less than 10 seconds; or regular	
	maintenance is typically performed in a harsh and	
	dirty outdoor environment, such as mining,	
	construction, and agriculture applications.	1

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**EEE** products

### HCT-201812-04

### ANNEX IV

### Applications exempted from the restriction in Article 4(1) specific to medical devices and monitoring and control instruments

No	SCOPE	DATE OF EXPIRED			
1.	Lead, cadmium and mercury in detectors for ionising radiation.	The exemption apply until 21 July 2018 for a maximum validity period, and may be renewed only on request after assessment.			
2.	Lead bearings in X-ray tubes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
3.	Lead in electromagnetic radiation amplification devices: micro-channel plate and capillary plate	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
4.	Lead in glass frit of X-ray tubes and image intensifiers and lead in glass frit binder for assembly of gas lasers and for vacuum tubes that convert electromagnetic radiation into electrons.	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
5.	Lead in shielding for ionising radiation	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
6.	Lead in X-ray test objects	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
7.	Lead stearate X-ray diffraction crystals	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
8.	Radioactive cadmium isotope source for portable X-ray fluorescence spectrometers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.			
Sensors,	Sensors, detectors and electrodes				
1a.	Lead and cadmium in ion selective electrodes including glass of pH electrodes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed			

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### EEE products HCT-201812-04 only on request after assessment. The exemption apply until 21 July 2016 for a 1b. Lead anodes in electrochemical oxygen sensors maximum validity period, and may be renewed only on request after assessment. The exemption apply until 21 July 2016 for a Lead, cadmium and mercury in infra-red light maximum validity period, and may be renewed 1c detectors only on request after assessment. Mercury in reference electrodes: low chloride The exemption apply until 21 July 2016 for a mercury chloride, mercury sulphate and mercury maximum validity period, and may be renewed 1d oxide. only on request after assessment. Others Others The exemption apply until 21 July 2016 for a 9. Cadmium in helium-cadmium lasers maximum validity period, and may be renewed only on request after assessment. The exemption apply until 21 July 2016 for a Lead and cadmium in atomic absorption 10. maximum validity period, and may be renewed spectroscopy lamps only on request after assessment. The exemption apply until 21 July 2016 for a Lead in alloys as a superconductor and thermal 11. maximum validity period, and may be renewed conductor in MRI only on request after assessment. Lead and cadmium in metallic bonds to 12. superconducting materials in MRI and SQUID Expires on 30 June 2021 detectors The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed 13. Lead in counterweights only on request after assessment. The exemption apply until 21 July 2016 for a Lead in single crystal piezoelectric materials for 14. maximum validity period, and may be renewed ultrasonic transducers only on request after assessment. The exemption apply until 21 July 2016 for a 15. Lead in solders for bonding to ultrasonic transducers maximum validity period, and may be renewed only on request after assessment. Mercury in very high accuracy capacitance and loss The exemption apply until 21 July 2016 for a 16. measurement bridges and in high frequency RF maximum validity period, and may be renewed

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EE products		HCT-201812-04
	switches and relays in monitoring and control instruments not exceeding 20 mg of mercury per switch or relay	only on request after assessment.
17.	Lead in solders in portable emergency defibrillators	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
18.	Lead in solders of high performance infrared imaging modules to detect in the range 8-14 µm	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
19.	Lead in Liquid crystal on silicon (LCoS) displays	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
20.	Cadmium in X-ray measurement filters	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
21.	Cadmium in phosphor coatings in image intensifiers for X-ray images until 31 December 2019 and in spare parts for X-ray systems placed on the EU market before 1 January 2020	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
22.	Lead acetate marker for use in stereotactic head frames for use with CT and MRI and in positioning systems for gamma beam and particle therapy equipment.	Expires on 30 June 2021
23.	Lead as an alloying element for bearings and wear surfaces in medical equipment exposed to ionising radiation.	Expires on 30 June 2021
24.	Lead enabling vacuum tight connections between aluminium and steel in X-ray image intensifiers.	Expires on 31 December 2019
25.	Lead in the surface coatings of pin connector systems requiring nonmagnetic connectors which are used durably at a temperature below – 20 °C under normal operating and storage conditions.	Expires on 30 June 2021
26.	<ul> <li>'26. Lead in the following applications that are used durably at a temperature below – 20 °C under normal operating and storage conditions:</li> <li>(a) solders on printed circuit boards;</li> </ul>	Expire on 30 June 2021

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EE products		HCT-201812-04
	(b) termination coatings of electrical and electronic	
	components and coatings of printed circuit boards;	
	(c) solders for connecting wires and cables;	
	(d) solders connecting transducers and sensors.	
	Lead in solders of electrical connections to	
	temperature measurement sensors in devices which	
	are designed to	
	be used periodically at temperatures below -	
	150 °C.	
	Lead in	
	— solders,	
	- termination coatings of electrical and electronic	
	components and printed circuit boards,	
	- connections of electrical wires, shields and	
	enclosed connectors,	
	which are used in	
07	(a) magnetic fields within the sphere of 1 m radius	
27.	around the iso centre of the magnet in medical	Expires on 30 June 2020
	magnetic resonance imaging equipment, including	
	patient monitors designed to be used within this	
	sphere, or	
	(b) magnetic fields within 1 m distance from the	
	external surfaces of cyclotron magnets, magnets for	
	beam transport and beam direction control applied	
	for particle therapy.	
	Lead in solders for mounting cadmium telluride and	
28.	cadmium zinc telluride digital array detectors to	Expires on 31 December 2017
	printed circuit boards.	
	Lead in alloys, as a superconductor or thermal	
	conductor, used in cryo-cooler cold heads and/or in	
	cryo-cooled cold probes and/or in cryo-cooled	Expires on 30 June 2021
29.	equipotential bonding systems, in medical devices	
	(category 8) and/or in industrial monitoring and	
	control instruments.	
	Hexavalent chromium in alkali dispensers used to	
30.	create photocathodes in X-ray image intensifiers	
	until 31 December 2019 and in spare parts for X-ray	
L		

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31a. di 31a. di 31a	ystems placed on the EU market before 1 January 020 ead, cadmium and hexavalent chromium in reused pare parts, recovered from medical devices placed in the market before 22 July 2014 and used in- ategory 8 equipment placed on the market before 2 July 2021, provided that reuse takes place in- uditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare arts recovered from and used for the repair or	Expires on 21 July 2021
31a. di 31a. di 31a	ead, cadmium and hexavalent chromium in reused- pare parts, recovered from medical devices placed in the market before 22 July 2014 and used in- ategory 8 equipment placed on the market before 2 July 2021, provided that reuse takes place in- uditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or	Expires on 21 July 2021
31. 31. 31. 31. 31. 31. 31. 31.	pare parts, recovered from medical devices placed in the market before 22 July 2014 and used in- ategory 8 equipment placed on the market before- i2 July 2021, provided that reuse takes place in- auditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or	Expires on 21 July 2021
31. 31. 31. 31. 31. 31. 31. 31.	In the market before 22 July 2014 and used in- ategory 8 equipment placed on the market before- 2 July 2021, provided that reuse takes place in- auditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or	Expires on 21 July 2021
31.       eff         21       21         24       24         24       69         25       66         90       90         31a.       di         31a.       di         32.       Tc         32.       Tc         33.       m         33.       m         34.       di	ategory 8 equipment placed on the market before- 2 July 2021, provided that reuse takes place in- uditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or	Expires on 21 July 2021
31.       24         au       au         au       au         au       au         au       au         au       pa         au       pa         31a.       di         au       pa         31a.       di         au       pa	2 July 2021, provided that reuse takes place in- uditable closed-loop business-to-business return- systems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare earts recovered from and used for the repair or	Expires on 21 July 2021
31a. di 31a. di 31a. di 31a. di 31a. di are nd 31a. di are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are nd are 31a. di are nd are 31a. di are nd are 14. di are nd are 14. di are 14. di are nd 14. di are 14. di 14. di 1	uditable closed-loop business-to-business return- ystems, and that the reuse of parts is notified to the onsumer ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or	
31a. di 31a. di 31a. di 31a. di 31a. di ai pi re nd 33. To R 44 33. m di 34. di	vstems, and that the reuse of parts is notified to the onsumer. ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare earts recovered from and used for the repair or	
31a. di 31a. di 31a. di 31a. di ar pl re nd 32. To R 33. m de 33. m de 34. di	ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare arts recovered from and used for the repair or	
31a. di 31a. di 31a. di 31a. di ar pl re nd 32. To 33. m de 33. m de 34. di	ead, cadmium, hexavalent chromium, and olybrominated diphenyl ethers (PBDE) in spare arts recovered from and used for the repair or	
31a. di 31a. d	olybrominated diphenyl ethers (PBDE) in spare arts recovered from and used for the repair or	
31a. di re 31a. di ai pl re nd 32. To 33. m de 33. m	arts recovered from and used for the repair or	
31a. di an pl re nd 32. To 33. m 33. m de 34. di		
31a. di ai pl re nd 32. To 33. m de 33. m		Expires on: (a) 21 July 2021 for the use in med
32. 33. 33. 33. 33. 33. 33. 33.	efurbishment of medical devices, including in vitro	devices other than in vitro diagnostic medical
32. 32. 33. 33. 33. 33. 33. 33.	liagnostic medical devices, or electron microscopes	devices; (b) 21 July 2023 for the use in in vitro
32. 32. 33. 33. 33. 33. 33. 33.	nd their accessories, provided that the reuse takes	diagnostic medical devices; (c) 21 July 2024 fo
32. 32. 32. 33. 33. 33. 4. 34. 1. 1. 1. 1. 1. 1. 1. 1	lace in auditable closed-loop business-to-business	the use in electron microscopes and their
32. 32. 33. 33. 33. 33. 34. Le di	eturn systems and that each reuse of parts is	accessories
32. ar To R 33. m de 34. di	otified to the customer.	
32. To R Le 33. m de 34. di	ead in solders on printed circuit boards of detectors	
33. m 34. To R Le us Le us di di	nd data acquisition units for Positron Emission	
33. m de 34.	omographs which are integrated into Magnetic	Expires on 31 December 2019
33. m de 34. di	Resonance Imaging equipment.	
33. m de Le 34. di	ead in solders on populated printed circuit boards	
m de Le 34.	sed in Directive 93/42/EEC class IIa and IIb mobile	Expires on 30 June 2016 for class IIa and on 3
Le 34.	nedical devices other than portable emergency	December 2020 for class IIb
34. di	efibrillators.	
34.	ead as an activator in the fluorescent powder of	Expires on 22 July 2021
34. pł	lischarge lamps when used for extracorporeal	
	hotopheresis lamps containing BSP (BaSi 2 O	
5	:Pb) phosphors.	
М	fercury in cold cathode fluorescent lamps for	
ba	ack-lighting liquid crystal displays, not exceeding 5	
35. m	ng per lamp, used in industrial monitoring and	Expires on 21 July 2024
	•••••••	
	ontrol instruments placed on the market before 22	
36. Le	uly 2017	Expires on 31 December 2020. May be used af

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EEE pr	oducts		HCT-201812-04
		connector systems for industrial monitoring and	that date in spare parts for industrial monitoring
		control instruments.	and control instruments placed on the market
			before 1 January 2021
		Lead in platinized platinum electrodes used for	
		conductivity measurements where at least one of the	
		following conditions applies: (a) wide-range	
		measurements with a conductivity range covering	
		more than 1 order of magnitude (e.g. range between	
		0,1 mS/m and 5 mS/m) in laboratory applications for	
	37.	unknown concentrations; (b) measurements of	
	57.	solutions where an accuracy of +/- 1 % of the	Expires on 31 December 2018
		sample range and where high corrosion resistance	
		of the electrode are required for any of the following:	
		(i) solutions with an acidity < pH 1; (ii) solutions with	
		an alkalinity > pH 13; (iii) corrosive solutions	
		containing halogen gas; (c) measurements of	
		conductivities above 100 mS/m that must be	
		performed with portable instruments.	
		Lead in solder in one interface of large area stacked	Everines on 21 December 2010, May be used offer
	20	die elements with more than 500 interconnects per	Expires on 31 December 2019. May be used after
	38.	interface which are used in X-ray detectors of	that date in spare parts for CT and X-ray systems
		computed tomography and X-ray systems.	placed on the market before 1 January 2020
		Lead in micro-channel plates (MCPs) used in	
		equipment where at least one of the following	
		properties is present: (a) a compact size of the	
		detector for electrons or ions, where the space for	
		the detector is limited to a maximum of 3 mm/MCP	The exemption expires on the following dates:
		(detector thickness + space for installation of the	(a) 21 July 2021 for medical devices and
		MCP), a maximum of 6 mm in total, and an	monitoring and control instruments;
	39.	alternative design yielding more space for the	
		detector is scientifically and technically	(b) 21 July 2023 for in-vitro diagnostic medical
		impracticable; (b) a two-dimensional spatial	devices; (c) 21 July 2024 for industrial monitoring
		resolution for detecting electrons or ions, where at	and control instruments
		least one of the following applies: (i) a response time	
		shorter than 25 ns; (ii) a sample detection area	
		larger than 149 mm <sup>2</sup> ; (iii) a multiplication factor	
		larger than $1,3 \times 10^3$ . (c) a response time shorter	

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EEE pr	oducts		HCT-201812-04
		than 5 ns for detecting electrons or ions; (d) a	
		sample detection area larger than 314 mm <sup>2</sup> for	
		detecting electrons or ions; (e) a multiplication factor	
		larger than 4,0 × $10^7$ .	
		Lead in dielectric ceramic in capacitors for a rated	Expires on 31 December 2020. May be used after
	40.	voltage of less than 125 V AC or 250 V DC for	that date in spare parts for industrial monitoring
		industrial monitoring and control instruments.	and control instruments placed on the market
			before 1 January 2021
		Cadmium anodes in Hersch cells for oxygen sensors	
	43.	used in industrial monitoring and control	Expires on 15 July 2023.
		instruments, where sensitivity below 10 ppm is	
		required.	

—END———

25 Dec. 2018 updated

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