

**EEE** products

HCT-201911-03

# SHENZHEN HONGC

### 2011/65/EU (RoHS 2.0) latest exemption list

Nov. 14 2019 updated

13 February 2003, EU published Directive 2002/95/EC of the European Parliament and of the Council on the restriction of the use of certain hazardous substances in electrical and electronic equipment.

1 July 2011, THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION published DIRECTIVE 2011/65/EU (RoHS 2.0) on Official Journal of the European Union, replacing Directive 2002/95/EC. There are totally 39 entries in RoHS 2.0 Annex III and 20 entries in Annex IV.

9 January 2014, EU Commission published multiple amendments to DIRECTIVE 2011/65/EU (RoHS 2.0), newly added 14 entries to Annex IV. And from 20 May 2014 news, 8 amendments are officially added to Directive 2011/65/EU (RoHS 2.0).

16 April 2016, EU amended the 31st entry of Annex IV of RoHS 2.0, according to (EU) 2016/585, in Annex IV to Directive 2011/65/EU, point 31 is deleted and point 31a is added.

On 25 June 2016, the Official Journal of the European Union has published Commission Delegated Directives (EU) 2016/1028 to amend point 26 as regards an exemption on lead and (EU) 2016/1029 to add point 43 as regards an exemption on cadmium. Up to July 2016, there are totally 41 entries in Annex III and 43 in Annex IV.

18 May 2018, Official Journal of the European Union published 7 amendments (EU)2018/736, (EU)2018/737, (EU) 2018/738, (EU) 2018/739, (EU) 2018/740, (EU) 2018/741 and (EU) 2018/742 amending Annex III to Directive 2011/65/EU of the European Parliament and of the Council as regards to the Entry 6(a), 6(a)-I, 6(b), 6(b)-I, 6(c),

7(a), 7(c)-I, 24 and 34.

16 Nov 2018, the Official Journal of European Union published Document C(2018)7495, C(2018)7499, C(2018)7505 to C(2018)7509, C(2018)7520, C(2018)7523, C(2018)7525, amending the exemption for lead and Cadmium content in the Annex III of EU RoHS Directive.

On Feb. 05, 2019, the Official Journal of European Union published Directive (EU) 2019/169 to (EU) 2019/178 of 16 Nov. 2018 amending, the 10 Directives will take effective from Feb. 25, 2019.

On November 5, 2019, the Official Journal of European Union published Directive (EU) 2019/1845, (EU) 2019/1846, the point 43 and 44 are added in Annex III, the 2 Directives will take effective from November 25, 2019.

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Belows are the newest exemption lists of RoHS 2.0, words marked blue are those exemptions that were expired.

ANNEX III

	Exemption	Scope and dates of applicability
1	Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
1(a)	For general lighting purposes < 30 W: 5 mg	Expires on 31 December 2011; 3,5 mg may be used per burner after 31 December 2011 until 31 December 2012; 2,5 mg shall be used per burner after 31 December 2012
1(b)	For general lighting purposes ≥ 30 W and < 50 W: 5 mg	Expires on 31 December 2011; 3,5 mg may be used per burner after 31 December 2011
1(c)	For general lighting purposes ≥ 50 W and < 150 W: 5 mg	The exemption apply until 21 July 2016 for a maximum validity period
1(d)	For general lighting purposes ≥ 150 W: 15 mg	The exemption apply until 21 July 2016 for a maximum validity period
1(e)	For general lighting purposes with circular or square structural shape and tube diameter ≤ 17 mm	No limitation of use until 31 December 2011; 7 mg may be used per burner after 31 December 2011
1(f)	For special purposes: 5 mg	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
1(g)	For general lighting purposes < 30 W with a lifetime equal or above 20 000 h: 3,5 mg	Expires on 31 December 2017
2(a)	Mercury in double-capped linear fluorescent lamps for general lighting purposes not exceeding (per lamp):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
2(a)-I	Tri-band phosphor with normal lifetime and a tube diameter < 9 mm (e.g. T2): 5 mg	Expires on 31 December 2011; 4 mg may be used per lamp after 31 December 2011
2(a)-II	Tri-band phosphor with normal lifetime and a tube diameter ≥ 9 mm and ≤ 17 mm (e.g. T5): 5 mg	Expires on 31 December 2011; 3 mg may be used per lamp after 31 December 2011
2(a)-III	Tri-band phosphor with normal lifetime and a tube diameter > 17 mm and ≤ 28 mm (e.g. T8): 5 mg	Expires on 31 December 2011; 3,5 mg may be used per lamp after 31 December 2011
2(a)-IV	Tri-band phosphor with normal lifetime and a tube	Expires on 31 December 2012; 3,5 mg may be

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oducts		HCT-201911-03
	diameter > 28 mm (e.g. T12): 5 mg	used per lamp after 31 December 2012
2(a)-V	Tri-band phosphor with long lifetime (≥ 25 000 h): 8 mg	Expires on 31 December 2011; 5 mg may be use per lamp after 31 December 2011
2(b)	Mercury in other fluorescent lamps not exceeding (per lamp):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
2(b)-l	Linear halophosphate lamps with tube > 28 mm (e.g. T10 and T12): 10 mg	Expires on 13 April 2012
2(b)-II	Non-linear halophosphate lamps (all diameters): 15 mg	Expires on 13 April 2016
2(b)-III	Non-linear tri-band phosphor lamps with tube diameter > 17 mm (e.g. T9)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
2(b)-IV	Lamps for other general lighting and special purposes (e.g. induction lamps)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
3	Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
3(a)	Short length (≤ 500 mm)	No limitation of use until 31 December 2011; 3.5 mg may be used per lamp after 31 December 2011
3(b)	Medium length (> 500 mm and ≤ 1 500 mm)	No limitation of use until 31 December 2011; 5 m may be used per lamp after 31 December 2011
3(c)	Long length (> 1 500 mm)	No limitation of use until 31 December 2011; 13 mg may be used per lamp after 31 December 2011
4(a)	Mercury in other low pressure discharge lamps (per lamp)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
4(b)	Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index Ra > 60:	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.

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EE products	E products HCT-201911-03		
4(b)-I	P≤155 W	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011	
4(b)-II	155W <p≤405w< td=""><td>No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011</td></p≤405w<>	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011	
4(b)-III	P>405W	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011	
4(c)	Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.	
4(c)-I	P≤155 W	No limitation of use until 31 December 2011; 25 mg may be used per burner after 31 December 2011	
4(c)-II	155W <p≤405w< td=""><td>No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011</td></p≤405w<>	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011	
4(c)-III	P>405W	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011	
4(d)	Mercury in High Pressure Mercury (vapour) lamps (HPMV)	Expires on 13 April 2015	
4(e)	Mercury in metal halide lamps (MH)	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.	
4(f)	Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.	
4(g)	Mercury in hand crafted luminous discharge tubes used for signs, decorative or architectural and specialist lighting and light-artwork, where the mercury content shall be limited as follows: (a) 20 mg per electrode pair + 0,3 mg per tube length in cm, but not more than 80 mg, for outdoor	Expires on 31 December 2018	

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roducts		HCT-201911-03
	applications and indoor applications exposed to temperatures below 20 °C; (b) 15 mg per electrode pair + 0,24 mg per tube length in cm, but not more than 80 mg, for all other indoor applications	
5(a)	Lead in glass of cathode ray tubes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
5(b)	Lead in glass of fluorescent tubes not exceeding 0,2 % by weight	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
6(a)	Lead as an alloying element in steel for machining purposes and in galvanised steel containing up to 0,35 % lead by weight	Expires on: 21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; 21 July 2023 for category 8 in vitro diagnostic medical devices; 21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
6(a)-I	Lead as an alloying element in steel for machining purposes containing up to 0,35 % lead by weight and in batch hot dip galvanised steel components containing up to 0,2 % lead by weight	Expires on 21 July 2021 for categories 1-7 and 10.
6(b)	Lead as an alloying element in aluminium containing up to 0,4 % lead by weight	<ul> <li>Expires on:</li> <li>21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments,</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.</li> </ul>
6(b)-I	Lead as an alloying element in aluminium containing up to 0,4 % lead by weight, provided it stems from lead-bearing aluminium scrap recycling	Expires on 21 July 2021 for categories 1-7 and 10.
6(b)-II	Lead as an alloying element in aluminium for machining purposes with a lead content up to 0,4 % by weight	Expires on 18 May 2021 for categories 1-7 and 10.

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6(c)	Copper alloy containing up to 4 % lead by weight	<ul> <li>Expires on:</li> <li>21 July 2021 for categories 1-7 and 10,</li> <li>21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments,</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.</li> </ul>
7(a)	Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead)	Applies to categories 1-7 and 10 (except applications covered by point 24 of this Annex) and expires on 21 July 2021. For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 21 July 2021. For category 8 in vitro diagnostic medical devices expires on 21 July 2023. For category 9 industrial monitoring and control instruments and for category 11 expire on 21 July 2024.
7(b)	Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling, transmission, and network management for telecommunications	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
7(c)-I	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound	Applies to categories 1-7 and 10 (except applications covered under point 34) and expires on 21 July 2021. For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 21 July 2021. For category 8 in vitro diagnostic medical devices expires on 21 July 2023. For category 9 industrial monitoring and control instruments and for category 11 expire on 21 July 2024.

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7(c)-II	Lead in dielectric ceramic in capacitors for a rated voltage of 125 V AC or 250 V DC or higher	Does not apply to applications covered by point 7(c)-I and 7(c)-IV of this Annex. Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
7(c)-III	Lead in dielectric ceramic in capacitors for a rated voltage of less than 125 V AC or 250 V DC	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013
7(c)-IV	Lead in PZT based dielectric ceramic materials for capacitors which are part of integrated circuits or discrete semiconductors	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
8(a)	Cadmium and its compounds in one shot pellet type thermal cut-offs	Expires on 1 January 2012 and after that date may be used in spare parts for EEE placed on the market before 1 January 2012
8(b)	Cadmium and its compounds in electrical contacts	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
8(b)-l	Cadmium and its compounds in electrical contacts used in: -circuit breakers;	Applies to categories 1 to 7 and 10 and expires on 21 July 2021.

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	<ul> <li>-thermal sensing controls;</li> <li>-thermal motor protectors (excluding hermetic thermal motor protectors);</li> <li>-AC switches rated at:</li> <li>.6 A and more at 250 V AC and more; or</li> <li>.12 A and more at 125 V AC and more;</li> <li>-DC switches rated at 20 A and more at 18 V DC and more; and</li> <li>-switches for use at voltage supply frequency ≥</li> <li>200 Hz.</li> </ul>	
9	Hexavalent chromium as an anticorrosion agent of the carbon steel cooling system in absorption refrigerators up to 0,75 % by weight in the cooling solution	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
9(b)	Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and refrigeration (HVACR) applications	<ul> <li>Applies to categories 8, 9 and 11; expires on:</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments and for category 11,</li> <li>21 July 2021 for other subcategories of categories</li> <li>8 and 9.</li> </ul>
9(b)-(l)	Lead in bearing shells and bushes for refrigerant-containing hermetic scroll compressors with a stated electrical power input equal or below 9 kW for heating, ventilation, air conditioning and refrigeration (HVACR) applications	Applies to category 1; expires on 21 July 2019
11(a)	Lead used in C-press compliant pin connector systems	May be used in spare parts for EEE placed on the market before 24 September 2010
11(b)	Lead used in other than C-press compliant pin connector systems	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013
12	Lead as a coating material for the thermal conduction module C-ring	May be used in spare parts for EEE placed on the market before 24 September 2010
13(a)	Lead in white glasses used for optical applications	Applies to all categories; expires on: 21 July 2023 for category 8 in vitro diagnostic medical devices;

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EEE pr	EE products HCT-201911-03		
			<ul><li>21 July 2024 for category 9 industrial monitoring</li><li>and control instruments and for category 11;</li><li>21 July 2021 for all other categories and</li><li>subcategories</li></ul>
	13(b)	Cadmium and lead in filter glasses and glasses used for reflectance standards	Applies to categories 8, 9 and 11; expires on: 21 July 2023 for category 8 in vitro diagnostic medical devices; 21 July 2024 for category 9 industrial monitoring and control instruments and for category 11; 21 July 2021 for other subcategories of categories 8 and 9
	13(b)-(l)	Lead in ion coloured optical filter glass types	
	13(b)-(II)	Cadmium in striking optical filter glass types; excluding applications falling under point 39 of this Annex	Applies to categories 1 to 7 and 10; expires on 21 July 2021 for categories 1 to 7 and 10'
	13(b)-(III)	Cadmium and lead in glazes used for reflectance standards	
	14	Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors with a lead content of more than 80 % and less than 85 % by weight	Expired on 1 January 2011 and after that date may be used in spare parts for EEE placed on the market before 1 January 2011
	15	Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit flip chip packages	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
	15(a)	Lead in solders to complete a viable electrical connection between the semiconductor die and carrier within integrated circuit flip chip packages where at least one of the following criteria applies:	Applies to categories 1 to 7 and 10 and expires on 21 Jul. 2021.

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	<ul> <li>-a semiconductor technology node of 90 nm or larger;</li> <li>-a single die of 300mm<sup>2</sup> or larger in any semiconductor technology node;</li> <li>-stacked die packages with die of 300mm<sup>2</sup> or larger, or silicon interposers of 300mm<sup>2</sup> or larger.</li> </ul>	
16	Lead in linear incandescent lamps with silicate coated tubes	Expires on 1 September 2013
17	Lead halide as radiant agent in high intensity discharge (HID) lamps used for professional reprography applications	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
18(a)	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as speciality lamps for diazoprinting reprography, lithography, insect traps, photochemical and curing processes containing phosphors such as SMS ((Sr,Ba) 2 MgSi 2 O 7 :Pb)	Expired on 1 January 2011
18(b)	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as sun tanning lamps containing phosphors such as BSP (BaSi 2 O 5 :Pb)	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
18(b)-l	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps containing phosphors such as BSP (BaSi2O5:Pb) when used in medical phototherapy equipment	Applies to categories 5 and 8, excluding applications covered by entry 34 of Annex IV, and expires on 21 July 2021.
19	Lead with PbBiSn-Hg and PbInSn-Hg in specific compositions as main amalgam and with PbSn-Hg as auxiliary amalgam in very compact energy saving lamps (ESL)	Expires on 1 June 2011
20	Lead oxide in glass used for bonding front and rear substrates of flat fluorescent lamps used for Liquid	Expires on 1 June 2011

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	Crystal Displays (LCDs)	
21	Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
21(a)	Cadmium when used in color printed glass to provide filtering functions, used as a component in lighting applications installed in displays and control panels of EEE	Applies to categories 1 to 7 and 10 except applications covered by entry 21(b) or entry 39 and expires on 21 July 2021.
21(b)	Cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	Applies to categories 1 to 7 and 10 except applications covered by entry 21(a) or 39 and expires on 21 July 2021.
21(c)	Lead in printing inks for the application of enamels on other than borosilicate glasses	Applies to categories 1 to 7 and 10 and expires o 21 July 2021.
23	Lead in finishes of fine pitch components other than connectors with a pitch of 0,65 mm and less	May be used in spare parts for EEE placed on the market before 24 September 2010
24	Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors	<ul> <li>Expires on:</li> <li>21 July 2021 for categories 1-7 and 10,</li> <li>21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments,</li> <li>21 July 2023 for category 8 in vitro diagnostic medical devices,</li> <li>21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.</li> </ul>
25	Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
26	Lead oxide in the glass envelope of black light blue lamps	Expires on 1 June 2011
27	Lead alloys as solder for transducers used in	Expired on 24 September 2010

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		hours at acoustic power levels of 125 dB SPL and	
		above) loudspeakers	
	29	Lead bound in crystal glass as defined in Annex I (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
	30	Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
	31	Lead in soldering materials in mercury free flat fluorescent lamps (which, e.g. are used for liquid crystal displays, design or industrial lighting)	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
	32	Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
	33	Lead in solders for the soldering of thin copper wires of 100 µm diameter and less in power transformers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
	34	Lead in cermet-based trimmer potentiometer elements	Applies to all categories; expires on: 21 July 2021 for categories 1-7 and 10, 21 July 2021 for categories 8 and 9 other than in vitrodiagnostic medical devices and industrial monitoring and control instruments, 21 July 2023 for category 8 in vitro diagnostic

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roducts		HCT-201911-03
		medical devices, 21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
36	Mercury used as a cathode sputtering inhibitor in DC plasma displays with a content up to 30 mg per display	Expired on 1 July 2010
37	Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
38	Cadmium and cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
39	Cadmium in colour converting II-VI LEDs (< 10 µg Cd per mm 2 of light-emitting area) for use in solid state illumination or display systems	Expired on 1 July 2014
40	Cadmium in photoresistors for analogue optocouplers applied in professional audio equipment	Expires on 31 December 2013
41	Lead in solders and termination finishes of electrical and electronic components and finishes of printed circuit boards used in ignition modules and other electrical and electronic engine control systems, which for technical reasons must be mounted directly on or in the crankcase or cylinder of hand-held combustion engines (classes SH:1, SH:2, SH:3 of Directive 97/68/EC of the European Parliament and of the Council	Expires on 31 December 2018
42	Lead in bearings and bushes of diesel or gaseous fuel powered internal combustion engines applied in non-road professional use equipment: -with engine total displacement ≥ 15 litres; or	Applies to category 11, excluding applications covered by entry 6(c) of this Annex. Expires on 21 July 2024.

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Ping West Road, Longgang District, Shenzhen City.



EEE prod	ducts		HCT-201911-03
		-with engine total displacement < 15 litres and the	
		engine is designed to operate in applications where	
		the time between signal to start and full load is	
		required to be less than 10 seconds; or regular	
		maintenance is typically performed in a harsh and	
		dirty outdoor environment, such as mining,	
		construction, and agriculture applications.	
		Bis(2-ethylhexyl) phthalate in rubber components in	
		engine systems, designed for use in equipment that	
		is not intended solely for consumer use and	
		provided that no plasticised material comes into	
		contact with human mucous membranes or into	
		prolonged contact with human skin and the	
		concentration value of bis(2-ethylhexyl) phthalate	
		does not exceed:	
		(a)30 % by weight of the rubber for	
		(i)gasket coatings;	
	<mark>43</mark>	(ii)solid-rubber gaskets; or	Applies to category 11 and expires on 21 July
	<del>10</del>	(iii)rubber components included in assemblies of at	<mark>2024.</mark>
		least three components using electrical, mechanical	
		or hydraulic energy to do work, and attached to the	
		engine.	
		(b)10 % by weight of the rubber for	
		rubber-containing components not referred to in	
		point (a).	
		For the purposes of this entry, "prolonged contact	
		with human skin" means continuous contact of more	
		than 10 minutes duration or intermittent contact over	
		a period of 30 minutes, per day.	
		Lead in solder of sensors, actuators, and engine	
		control units of combustion engines within the scope	
		of Regulation (EU) 2016/1628 of the European	Applies to category 11 and expires on 21 July
<b>4</b>	<mark>44</mark>	Parliament and of the Council (*1), installed in	2024.
		equipment used at fixed positions while in operation	
		which is designed for professionals, but also used by	
		non-professional users.	

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**EEE** products

HCT-201911-03

### ANNEX IV

### Applications exempted from the restriction in Article 4(1) specific to medical devices and monitoring and control instruments

Lead, cadmium and mercury in detectors for ionising	The exemption apply until 21 July 2018 for a
radiation.	maximum validity period, and may be renewed only on request after assessment.
Lead bearings in X-ray tubes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Lead in electromagnetic radiation amplification devices: micro-channel plate and capillary plate	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Lead in glass frit of X-ray tubes and image intensifiers and lead in glass frit binder for assembly of gas lasers and for vacuum tubes that convert electromagnetic radiation into electrons.	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Lead in shielding for ionising radiation	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Lead in X-ray test objects	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Lead stearate X-ray diffraction crystals	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Radioactive cadmium isotope source for portable X-ray fluorescence spectrometers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
	Lead in electromagnetic radiation amplification devices: micro-channel plate and capillary plate Lead in glass frit of X-ray tubes and image intensifiers and lead in glass frit binder for assembly of gas lasers and for vacuum tubes that convert electromagnetic radiation into electrons. Lead in shielding for ionising radiation Lead in X-ray test objects Lead stearate X-ray diffraction crystals Radioactive cadmium isotope source for portable

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EEE products		HCT-201911-03
1a.	Lead and cadmium in ion selective electrodes including glass of pH electrodes	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
1b.	Lead anodes in electrochemical oxygen sensors	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
1c.	Lead, cadmium and mercury in infra-red light detectors	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
1d.	Mercury in reference electrodes: low chloride mercury chloride, mercury sulphate and mercury oxide. Others	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
Others		
9.	Cadmium in helium-cadmium lasers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
10.	Lead and cadmium in atomic absorption spectroscopy lamps	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
11.	Lead in alloys as a superconductor and thermal conductor in MRI	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
12.	Lead and cadmium in metallic bonds to superconducting materials in MRI and SQUID detectors	Expires on 30 June 2021
13.	Lead in counterweights	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
14.	Lead in single crystal piezoelectric materials for ultrasonic transducers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
15.	Lead in solders for bonding to ultrasonic transducers	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.

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### HCT-201911-03

oducis		HC1-201911-03
16.	Mercury in very high accuracy capacitance and loss measurement bridges and in high frequency RF switches and relays in monitoring and control instruments not exceeding 20 mg of mercury per switch or relay	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
17.	Lead in solders in portable emergency defibrillators	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
18.	Lead in solders of high performance infrared imaging modules to detect in the range 8-14 $\mu m$	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
19.	Lead in Liquid crystal on silicon (LCoS) displays	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
20.	Cadmium in X-ray measurement filters	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
21.	Cadmium in phosphor coatings in image intensifiers for X-ray images until 31 December 2019 and in spare parts for X-ray systems placed on the EU market before 1 January 2020	The exemption apply until 21 July 2016 for a maximum validity period, and may be renewed only on request after assessment.
22.	Lead acetate marker for use in stereotactic head frames for use with CT and MRI and in positioning systems for gamma beam and particle therapy equipment.	Expires on 30 June 2021
23.	Lead as an alloying element for bearings and wear surfaces in medical equipment exposed to ionising radiation.	Expires on 30 June 2021
24.	Lead enabling vacuum tight connections between aluminium and steel in X-ray image intensifiers.	Expires on 31 December 2019
25.	Lead in the surface coatings of pin connector systems requiring nonmagnetic connectors which are used durably at a temperature below – 20 °C under normal operating and storage conditions.	Expires on 30 June 2021
26.	<ul> <li>'26. Lead in the following applications that are used</li> <li>durably at a temperature below – 20 °C under</li> <li>normal</li> </ul>	Expire on 30 June 2021

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operating and storage conditions:         (a) solders on printed circuit boards;         (b) termination coatings of electrical and electronic components and coatings of printed circuit boards;         (c) solders for connecting wires and cables;         (d) solders connecting transducers and sensors.         Lead in solders of electrical connections to termperature measurement sensors in devices which are designed to be used periodically at temperatures below – 150 °C.         Edd in – solders, – termination coatings of electrical and electronic components and printed circuit boards, – connections of electrical wires, shields and enclosed connectors, which are used in (a) magnetic fields within the sphere of 1 m radius around the iso centre of the magnet in medical magnetic resonance imaging equipment, including patient monitors designed to be used within this sphere, or (b) magnetic fields within 1 m distance from the external surfaces of cyclotron magnets, magnets for beam transport and beam direction control applied for particle therapy.         Expires on 30 June 2020           28.         Lead in solders for mounting cadmium telluride and cadmium zinc telluride digital array detectors to printed circuit boards.         Expires on 31 December 2017           29.         Lead in alloys, as a superconductor or thermal conductor, used in cryo-cocoler cold heads and/or in cryo-cocoled cold probes and/or	EEE pro	oducts		HCT-201911-03
(b) termination coatings of electrical and electronic components and coatings of printed circuit boards;       (c) solders for connecting wires and cables;         (d) solders connecting wires and cables;       (d) solders connecting transducers and sensors.         Lead in solders of electrical connections to temperature measurement sensors in devices which are designed to be used periodically at temperatures below – 150 °C.         Lead in - solders, - termination coatings of electrical and electronic components and printed circuit boards, - connections of electrical wires, shields and enclosed connectors, which are used in (a) magnetic fields within the sphere of 1 m radius around the iso centre of the magnet in medical magnetic resonance imaging equipment, including patient monitors designed to be used within this sphere, or (b) magnetic fields within 1 m distance from the external surfaces of cyclotron magnets, magnets for beam transport and beam direction control applied for particle therapy.       Expires on 31 December 2017         28.       Lead in alloys, as a superconductor or thermal conductor, used in cryo-cooled cold probes and/or in cryo-cooled equipotential bonding systems, in medical devices (category 8) and/or in industrial monitoring and control instruments.       Expires on 30 June 2021			operating and storage conditions:	
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(c) solders for connecting wires and cables;       (d) solders connecting transducers and sensors.         Lead in solders of electrical connections to       temperature measurement sensors in devices which are designed to         be used periodically at temperatures below –       150 °C.         Lead in       solders,         termination coatings of electrical and electronic components and printed circuit boards,       connections of electrical wires, shields and enclosed connectors, which are used in         (a) magnetic fields within the sphere of 1 m radius around the iso centre of the magnet in medical magnetic resonance imaging equipment, including patient monitors designed to be used within this sphere, or       Expires on 30 June 2020         27.       (a) magnetic fields within 1 m distance from the external surfaces of cyclotron magnets, magnets for beam transport and beam direction control applied for particle therapy.       Expires on 31 December 2017         28.       Lead in alloys, as a superconductor or thermal conductor, used in ray-cooled cold probes and/or in cryo-cooler cold heads and/or in cryo-cooled cold probes and/or in cryo-cooled equipotential bonding systems, in medical devices (category 8) and/or in industrial monitoring and control instruments.       Expires on 30 June 2021			(b) termination coatings of electrical and electronic	
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			150 °C.	
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control instruments.		-		
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		30.	Hexavalent chromium in alkali dispensers used to	

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Shenzhen Hongcai testing technology co., LTD. (HCT)

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Ping West Road, Longgang District, Shenzhen City.



products		HCT-201911-03
	create photocathodes in X-ray image intensifiers until 31 December 2019 and in spare parts for X-ray systems placed on the EU market before 1 January 2020	
<del>31.</del>	Lead, cadmium and hexavalent chromium in reused- spare parts, recovered from medical devices placed on the market before 22 July 2014 and used in- category 8 equipment placed on the market before- 22 July 2021, provided that reuse takes place in- auditable closed-loop business-to-business return- systems, and that the reuse of parts is notified to the consumer	Expires on 21 July 2021
31a.	Lead, cadmium, hexavalent chromium, and polybrominated diphenyl ethers (PBDE) in spare parts recovered from and used for the repair or refurbishment of medical devices, including in vitro diagnostic medical devices, or electron microscopes and their accessories, provided that the reuse takes place in auditable closed-loop business-to-business return systems and that each reuse of parts is notified to the customer.	Expires on: (a) 21 July 2021 for the use in medical devices other than in vitro diagnostic medical devices; (b) 21 July 2023 for the use in in vitro diagnostic medical devices; (c) 21 July 2024 for the use in electron microscopes and their accessories
32.	Lead in solders on printed circuit boards of detectors and data acquisition units for Positron Emission Tomographs which are integrated into Magnetic Resonance Imaging equipment.	Expires on 31 December 2019
33.	Lead in solders on populated printed circuit boards used in Directive 93/42/EEC class IIa and IIb mobile medical devices other than portable emergency defibrillators.	Expires on 30 June 2016 for class IIa and on 31 December 2020 for class IIb
34.	Lead as an activator in the fluorescent powder of discharge lamps when used for extracorporeal photopheresis lamps containing BSP (BaSi 2 O 5 :Pb) phosphors.	Expires on 22 July 2021
35.	Mercury in cold cathode fluorescent lamps for back-lighting liquid crystal displays, not exceeding 5 mg per lamp, used in industrial monitoring and control instruments placed on the market before 22	Expires on 21 July 2024

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### HCT-201911-03

	July 2017	
	July 2017	
36.	Lead used in other than C-press compliant pin connector systems for industrial monitoring and control instruments.	Expires on 31 December 2020. May be used aft that date in spare parts for industrial monitoring and control instruments placed on the market before 1 January 2021
37.	Lead in platinized platinum electrodes used for conductivity measurements where at least one of the following conditions applies: (a) wide-range measurements with a conductivity range covering more than 1 order of magnitude (e.g. range between 0,1 mS/m and 5 mS/m) in laboratory applications for unknown concentrations; (b) measurements of solutions where an accuracy of +/- 1 % of the sample range and where high corrosion resistance of the electrode are required for any of the following: (i) solutions with an acidity < pH 1; (ii) solutions with an alkalinity > pH 13; (iii) corrosive solutions containing halogen gas; (c) measurements of conductivities above 100 mS/m that must be performed with portable instruments.	Expires on 31 December 2018
38.	Lead in solder in one interface of large area stacked die elements with more than 500 interconnects per interface which are used in X-ray detectors of computed tomography and X-ray systems.	Expires on 31 December 2019. May be used af that date in spare parts for CT and X-ray system placed on the market before 1 January 2020
39.	Lead in micro-channel plates (MCPs) used in equipment where at least one of the following properties is present: (a) a compact size of the detector for electrons or ions, where the space for the detector is limited to a maximum of 3 mm/MCP (detector thickness + space for installation of the MCP), a maximum of 6 mm in total, and an alternative design yielding more space for the detector is scientifically and technically impracticable; (b) a two-dimensional spatial resolution for detecting electrons or ions, where at least one of the following applies: (i) a response time shorter than 25 ns; (ii) a sample detection area	The exemption expires on the following dates: (a) 21 July 2021 for medical devices and monitoring and control instruments; (b) 21 July 2023 for in-vitro diagnostic medical devices; (c) 21 July 2024 for industrial monitorin and control instruments

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**EEE** products

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EEE products		HCT-201911-03
	larger than 149 mm <sup>2</sup> ; (iii) a multiplication factor	
	larger than $1,3 \times 10^3$ . (c) a response time shorter	
	than 5 ns for detecting electrons or ions; (d) a	
	sample detection area larger than 314 mm <sup>2</sup> for	
	detecting electrons or ions; (e) a multiplication factor	
	larger than $4.0 \times 10^7$ .	
	Lead in dielectric ceramic in capacitors for a rated	Expires on 31 December 2020. May be used after
10	voltage of less than 125 V AC or 250 V DC for	that date in spare parts for industrial monitoring
40.	industrial monitoring and control instruments.	and control instruments placed on the market
		before 1 January 2021
	Cadmium anodes in Hersch cells for oxygen sensors	
40	used in industrial monitoring and control	Expires on 15 July 2023.
43.	instruments, where sensitivity below 10 ppm is	
	required.	

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